











ROMANIAN RESEARCH ASSESSEMENT EXERCISE (RRAE)

EVALUATOR'S GUIDE BOOK

Domain 33: Philology

2011













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1. Introduction

ROMANIAN RESEARCH ASSESSMENT EXERCISE (RRAE) is the essential component of the "Doctorate in Universities of Excellence – Research Assessment and Support for Scientific Publishing" strategic project, a project financed by The Sectorial Operational Programme for the Development of Human Resources (SOPDHR) and undertaken by The Executive Agency for Higher Education, Research, Development and Innovation Funding (EAHERDIF) between 2008-2011.

The Exercise's objective is the quantitative and qualitative evaluation, with regards to specific scientific domains and international standards, of the scientific research conducted in Romanian universities. This assessment is based on *The General Methodology* [1], which is further divided by domains in *The specific guide books of the evaluation domains* [2], developed in the project, through the considerable involvement of the national academic community and with the endorsement of an international panel of experts.

At the end of The Exercise for each of the forty two domains of scientific research identified in the project, a hierarchy of Romanian universities will result based on the obtained research performances.

RRAE results will permit formulation of legislative proposals regarding research financing to be strongly connected to the achieved performances and to the perspective of sustainable development of the universities. This perspective will stimulate the competition between universities, the participation of Romanian researchers in the international networks of research, and the increase of visibility and academic prestige in a global context. At the same time, The Exercise itself proposes to identify the universities whose potential and strategic programme can generate excellence, thus backing the realization of the *Excellency Universities in Romania* programme, a programme elaborated in the frame of the present project [3].

The Assessment Electronic Platform (Romanian acronym SISEC) is the informatics infrastructure, developed in the project. SISEC follows three main objectives:

- (i). to mediate the introduction by universities of the information on which the evaluation is based (Data gathering module);
- (ii). to provide informatics support for the qualitative evaluation in a *peer-review* system (*Evaluation module*);
- (iii). to generate reports on the scientific research conducted in the universities in formats requested by various stakeholders (*Reporting module*).













The platform will allow the periodical monitoring of research results and the identification of excellence groups whose financial support will contribute to the increase of visibility of Romanian scientific research. As the qualitative evaluation will also be conducted by foreign experts, all the descriptive fields from SISEC will be filled out in English by researchers and domain coordinators from the universities.

The structure of the actual *Evaluator's Guide Book* is the following:

- in **Chapter 2** (*Evaluation Methodology*) the evaluated domains, the four criteria and the accompanying descriptors are shown, next to the duties of participants in the evaluation process;
- in **Chapter 3** (*Electronic Evaluation File*) the structure of *The electronic file* generated by SISEC on the basis of the information introduced by the universities and subjected to both evaluations: quantitative (performed automatically) and qualitative (through *peer-review* activity of the Romanian and foreign evaluation experts), is shown;
- in **Chapter 4** (*Evaluator's work Guidelines*) the steps followed by the evaluator to access SISEC and to perform the qualitative evaluation of the files which are automatically allocated by the electronic platform, are shown in detail;
- in **Chapter 5** (*Panel Coordinator's Work Guidelines*) the responsibilities and the stages followed by the panel coordinator in RRAE are shown.

Further, after the **Bibliography** section, the document contains a detailing of the significance of quality levels specific to each domain, a particularization undertaken by the Evaluation panels for each domain in the process of elaborating the *Evaluation Methodology* (**Annex 1**), the scheduling of meetings of the *Evaluation panels* (**Annex 2**), *Templates for Reports generated during RRAE* (**Annex 3**) and, finally, explanations of the terms used in RRAE – *Glossary of terms* (**Annex 4**).













2. The Evaluation Methodology

The elaboration of evaluation methodology of scientific research in Romanian universities, on the basis of which the current *Research Assessment Exercise* takes place, was conducted between December 2008 and April 2010 and is presented in detail on the Web page of the project [1 and 2]. The methodology is based on a *peer-review* evaluation process, with national and foreign evaluators, being an evaluation by research domains. The taxonomy of the specialty domains is intended to provide a referential framework to The Exercise, highlighting affinities and connections between domains, structured in *Domains groups* and which must be treated consistently by the evaluators. The 42 domains used in RRAE are listed below:

Group I - Natural Sciences

- 1. Mathematics
- 2. Informatics
- 3. Physics
- 4. Chemistry
- 5. Geology and geography

Group II- Engineering Sciences

- 6. Civil engineering and installations
- 7. Mechanical engineering and mechatronics
- 8. Aerospatiale engineering
- 9. Transportation
- 10. Chemical engineering
- 11. Materials science
- 12. Oil, gas and mines
- 13. Industrial engineering
- 14. Electrical engineering
- 15. Energetics
- 16. Electronics and telecommunications
- 17. System engineering
- 18. Computers and information technology
- 19. Biotechnologies, food security and engineering
- 20. Environmental sciences

Group III - Social and Economic Sciences

- 21. Law and administrative sciences
- 22. Economic sciences
- 23. Military sciences, security and information
- 24. Political sciences and international relations
- 25. Communication and media
- 26. Sociology, anthropology and social assistance
- 27. Psychology
- 28. Education science
- 29. Sports

Group IV - Human Sciences

- 30. Philosophy
- 31. History
- 32. Theology and religious studies
- 33. Philology

Group V - Arts and Architecture

- 34. Cinematography and performing arts
- 35. Music
- 36. Visual arts
- 37. Architecture and urbanism

Group VI - Life Sciences

- 38. Biology
- 39. Agriculture and forestry
- 40. Veterinary medicine and zootechny
- 41. Medicine
- 42. Pharmacology













In the current Romanian Research Assessment Exercise the following four criteria are used [1]:

- Criterion I: The results obtained in the activity of scientific research;
- Criterion II: The environment of scientific research;
- Criterion III: The prestige in the academic community;
- Criterion IV: Financial resources brought for the scientific research.

Each of these four criteria has a specific weight and a set of descriptors, as follows.

CRITERIA	DESCRIPTORS
I. The results obtained in the activity of scientific research(Scientific Output) (60 – 70 %) Maximum 3 indicators	 Articles: Publications rated Web of Science; Published in proceedings of scientific events; Magazines from international data base. Scientific books of author and chapter books Translations Patents Copyrights Protected Achievements Socio-Economic Products (products and/or innovative services with an socioeconomic impact which can be demonstrated)
II. The environment of scientific research (Research Environment) (10 – 30 %) Maximum 4 indicators	 PhD Advisors Organization of Scientific Events Youth Research Program (Mechanisms to attract young researchers) Research infrastructure Access to scientific literature Edited volumes Edited translations
 III. The prestige in the academic community(Academic Recognition) (5 – 15 %) Maximum 3 indicators 	 Invited Professor Invited Lectures Citations and reviews of author's creations Member of Romanian Academy, of branch academies and foreign academies
 IV. Financial resources brought for the scientific research (<i>Research Contracts</i>) (5 – 10 %) 1 indicator 	Funds drawn for research from national and international contracts.

The quantifying of descriptors is done by a set of formulas elaborated by the project team together with the 42 domain coordinators, on the basis of the national and international experience in research













evaluation. The mathematical relations which lead to the numerical values associated to each o evaluation criterion are specified in *The detailed presentation of the evaluation formulas which are going to be used in Romanian Research Assessment Exercise* [4]. The indicators which resulted so forth contain two distinct levels of evaluation: a quantitative and a qualitative one. The quantitative evaluation is automatically performed by the informatics platform, on the basis of the registered information, while the qualitative evaluation is conducted by the evaluators, on the basis of the documents subjected to analysis.

The evaluation procedure from a certain research domain follows, successively, the next stages:

- 1. The qualitative and quantitative evaluation of files by each criterion is done, every file receiving (based on evaluation formulas) four numerical values, each for every criterion.
- 2. The files are ranked by each criterion, thus resulting in four hierarchies, each for every evaluation criterion.
- 3. The files from the top of the four hierarchies will receive a maximum number of points (according to [1]). These values are indicated in the table below.
- 4. The other files receive a number of points which is proportional to the numerical value received for that criterion.
- 5. The total number of points of university file (for the evaluated domain) is calculated by adding the number of points received for the four criteria.
- 6. Finally, the ranking of files by a research domain is done depending on the total number of points received.

	Natural Sciences	Engineering Sciences	Social and Economic Sciences	Humanist Sciences	Arts and Architecture	Life Sciences
Criterion I	70 points	65 points	60 points	60 points	60 points	60 points
Criterion II	10 points	15 points	15 points	15 points	30 points	20 points
Criterion III	10 points	10 points	15 points	15 points	5 points	10 points
Criterion IV	10 points	10 points	10 points	10 points	5 points	10 points

The maximum points corresponding to the four criteria for each group of domains established in The General Methodology of Evaluation [1].

⇒ By researchers, according to this guide, we understand the didactic staff and the researchers employed by the university as of 31-st of December 2010.

Each researcher will have an individual account in **Assessment Electronic Platform (**Romanian acronym *SISEC),* through which she/he will introduce all the information subject to evaluation.













Attending PhD students who don't have an employment contract with the university will have an individual account in **Assessment Electronic Platform** (Romanian acronym *SISEC*) by which they will introduce all the information subject to evaluation.

Criterion I, II and III imply both a quantitative evaluation (automatically achieved by SISEC) and a qualitative one, performed by the evaluators through the framing of the elements subjected to the qualitative evaluation by quality levels. Criterion IV contains just the quantitative component. The information necessary for the evaluation by the criteria I and III are obtained from the individual data introduced in SISEC by the researchers, while the information needed for the evaluation of the Criterion IV are introduced by the domain coordinator from the university. At the criterion II the information required by the "PhD Advisors" descriptor are introduced by the researchers (if they have the position of a doctorate supervisor), the rest of the information being introduced by the domain coordinator from the university.

- RRAE calls for two categories of actors involved in the process:
 - the universities, represented by rectors, domain coordinators, researchers and attending PhD students (they don't have an employment contract with the university);
 - the national and foreign evaluators.

At the **university** level, **the rector** selects from the list of the forty two domains subjected to evaluation the domains which are covered in the university. The rector will also establish the domain coordinators and the list of researchers and post-graduates for each research domain; he/she will introduce and will validate through the platform the data required at the university level and in the end he/she will validate all the elements which will be entered into the evaluation process. On the basis of the lists with the identification data of the researchers and the PhD students and of the list with the identification data of the domain coordinators, established by the rectors, the accounts for the domain coordinators, researchers and post-graduates will be generated.

The domain coordinator is the person appointed by the rector as a responsible for the research evaluation for a certain domain covered by the respective university. He/She introduces the information for criteria II (*Research Environment*) and IV (*Research Contracts*), debugs the error messages (SISEC) and validates the data introduced by the researchers in the respective domain.

The researchers and the PhD students will register in SISEC the scientific production (Criterion I) and each researcher who has a non-null scientific production on a certain descriptor has to introduce in SISEC, for the qualitative evaluation, 10% (but not less than one element) from the scientific production accompanying that descriptor (for example, articles, books and so on). The researchers will register in SISEC













the accompanying information to the prestige in the academic community (Criterion III), also. The researchers who have the right to supervise doctorates will introduce in Criterion II (*Research Environment*) the information afferent to "PhD Advisors" descriptor; the rest of the information specific to The Criterion II shall be introduced by the domain coordinator.

The evaluators have access, through SISEC, to the integral electronic files allocated for the evaluation, thus having the possibility of a complete picture of the achieved performances in scientific research for the universities, by the evaluated domains.

The national and foreign evaluators will analyze from the qualitative point of view a part of the information registered by the universities with the electronic platform as follows:

- For Criterion I, the qualitative evaluation is done only for 10% of the scientific production. For every element subjected to the qualitative analysis in the frame of Criterion I (articles, books etc.), the evaluators will choose explicitly one of the four quality levels described below.
- For criteria II and III all the information introduced in SISEC by the universities will be qualitatively evaluated. The evaluators will indicate just the number of elements (for example, scientific events, edited volumes and so on) accompanying each quality level.

According to General methodology of evaluation [1], in the frame of RRAE, the evaluators will choose for each element subjected to qualitative evaluation one of the following four quality levels:

- top international;
- international;
- national;
- local.

The detailed description of these levels of quality is specific to each evaluation domain and it is presented in **Annex 1** of the current Guide Book.













3. The Electronic Evaluation File

The electronic evaluation file (later called Evaluation file or just File) is associated to a domain of science from a certain university and it contains the entire information provided by the University for (quantitative and qualitative) evaluation in RRAE, by the respective domain.

Beside the elements subjected to the evaluation, the evaluation file also contains the general information specific to the university and the evaluated domain.

The electronic file is organized in three sections:

Section I: General data which contain the following information:

- I.1 General data about the university introduced by Rector;
- I.2 General data about the evaluated domain introduced by the domain coordinator (number of researchers, number of PhD students, specific information domain available for the domain coordinator);I.3 Information about the number of elements uploaded to SISEC for each Criterion and Descriptor.
- Section II: Data for *qualitative evaluation*, where will be presented to the evaluator the elements subjected to the evaluation, in an interactive way, on each descriptor as follows:
 - at **Criterion I** for each descriptor 10% of the scientific production will be posted (the selection of the elements being done by the researchers);
 - at Criteria II and III all data will be posted.

Every element subjected to the qualitative evaluation, together with the afferent information (text domains, *.pdf files, etc.), will be accompanied by a *drop-down list*, through which the evaluator will select one of the quality levels (top international, international, national or local) and by a text area, where the evaluator will provide the arguments for his decision.

Section III: Integral data, which allows the evaluator to visualize the entire information referring to the evaluated domain, introduced for the domain by the researchers, domain coordinators and university, for all four evaluation criteria.

The electronic platform (SISEC) ensures a functionality by which the electronic file can be saved in a *.pdf file format), a file which can be stored on a removable storage (e.g. DVD) or can be printed. This Electronic file will be validated by the domain coordinator from the university and in the end by the Rector before the assessment process begins.













4. Evaluator's work guidelines

The evaluators are prestigious researchers from Romania and abroad, selected after a large consultation of the academic community. They will analyze and assign one of the four quality levels defined in *General methodology of evaluation* [1], the information registered by the universities in SISEC and destined for the qualitative evaluation. These represent 10% of the total of information from Criterion I. They will also evaluate the integral information uploaded at Criteria II and III.

In RRAE, the evaluators will perform their activity, successively following these stages:

Stage I: online

- a. The evaluator accesses SISEC using the identification data (*username*, *password*) received by *e-mail* from the electronic platform administrator;
- b. See The Evaluator Guide Book specific to the domain.
 This guide is available in English, in his/her SISEC work space. When he/she has doubts/questions regarding the unfolding of the evaluation procedure, the evaluator can consult the Panel Coordinator;
- c. In his/her work space, he/she identifies the electronic files which were automatically allocated to him/her by the electronic system for the qualitative evaluation. For each file, if conflicts of interests are not detected, he/she will accept the file for the evaluation, selecting the proper option from SISEC. If not, he/she refuses the file evaluation and he/she will receive another file for the evaluation, from the Evaluation panel coordinator.
- d. The proper qualitative evaluation of each file:
 - i. The evaluator will examine the entire file (the second section of *The evaluation electronic file*) in order to make an overview of the evaluated domain from the university;
 - ii. As presented in Chapter 2 (of this guide book), the qualitative evaluation will be done only for 10% of the scientific production (Criterion I) and integrally for Criteria II and III. The elements subjected to the qualitative evaluation are included in the first section of *The evaluation electronic file*, file available to the evaluator. For each of these elements, SISEC will make available to the evaluator all the information introduced by the universities for the qualitative evaluation (e.g. files in *.pdf format containing the scientific article, book, chapter, etc.);
 - iii. For each element subjected to the qualitative evaluation, based on the existing information in SISEC, the detailed description of the quality levels presented in **Annex 1**













and the personal scientific expertise, the evaluator must choose one of the four quality levels and provide (in the respective domain from SISEC) arguments for the choice he/she made.

e. At the end of the qualitative assessment process of a file, the evaluator will fill in and will sign *The* evaluation report (see **Annex 3** of this guide book) for that file. This report will contain statistical data resulted after the evaluation (data automatically generated by SISEC), together with the general notes/assessments of the evaluator for that file. The report will be electronically filled in, on SISEC, immediately after the *online* evaluation, will be subsequently printed, signed by the evaluator and sent to the project management team.

Stage II: in panel

- a. For the Panel meeting, the evaluator will check the other evaluators' assessment results (without knowing their identities) and the arguments already presented by them, results accessible in SISEC only after the *online* evaluation stage is completed, when the evaluators can no longer modify the assessments.
- b. The evaluator is invited to attend the meeting (working session) of The Evaluation Panel, a meeting mediated by the Evaluation Panel Coordinator. The schedule of the Panel meetings is shown in **Annex 2** of this guide book;
- c. The evaluator will be able to participate in the on-site visit at the evaluated universities, a visit which will be correlated with the panel meeting, elaborating *A report on the on-site visit for the domain*, according to the model shown in **Annex 3** of this guide book. The scheduling and participation in the on-site visits will be established by the project management team;
- d. The evaluator will participate to the elaboration and he/she will sign *The report of the meeting in the Panel*, according to the model shown in **Annex 3** of this guide book;
- e. The evaluator will sign, alongside the other members of the Panel and the Panel coordinator, *The final report of the ranking of files by domains*, according to the model shown in **Annex 3** of this guide book.













5. Panel Coordinator's work guidelines

As established in *General Methodology* [1], the Panel Coordinator doesn't evaluate the universities' files, his/her role being that of coordinating the activity of The evaluation panel, of mediating the discussions from the Panel in order to reach a consensus in establishing the quality levels subjected to the qualitative evaluation and to conduct the reports generated in the assessment process, reports whose model is shown in **Annex 3** of this guide book. His/her activity will follow the next steps:

Stage I: online

- a. He/she logs on to SISEC, using the *username* and the *password* received by *e-mail*, from the platform's administrator;
- b. Consults *The Evaluator Guide Book* specific to the domain. This guide is available in English, in his/her work space at SISEC;
- c. In his/her work space, he/she identifies the files uploaded by the universities and the complete list of the evaluators from the Panel he/she coordinates;
- d. He/she identifies the way SISEC automatically allocated the files to the evaluators and points out to the management team of the project the eventual incompatibilities;
- e. He/she receives (by SISEC) from the evaluators the agreement/refuse to assess the allocated file. In a case of a refused file, he/she allocates that file to another evaluator, pointing out this allocation to the project management team;
- f. During the *online* qualitative evaluation, the panel coordinator solves the eventual doubts/questions received from the evaluators. If it's necessary, he/she contacts the project management team;
- g. He/she points out to the project management team any dysfunctionality which can show up during the *online* qualitative assessment.

Stage II: On-site Visits and Panel Meeting

- a. He/she prepares the field visits and the panel meeting, assuring the fact that all the evaluators had accessed/visualized the results of the *online* qualitative evaluation done by the other members of The Panel;
- b. He/she mediates the discussions in The Panel in order to reach a consensus on the allocation of the quality levels for all the elements subjected to the qualitative evaluation;













- c. After the discussions in the panel meeting finalize, he/she assures the filling in and the signing by the participants of *The Report of the meeting in Panel*;
- d. Based on *The Report of the panel meeting,* he/she introduces in SISEC (with the technical support of the Panel assistant) the final values of the quality levels for the elements subjected to the qualitative evaluation.

Stage III: Finalizing the evaluation process and the classification of the universities on the domain

- a. Using the final results of the evaluation (quantitative and qualitative) provided by SISEC, he/she fills in and signs together with the other members of The Evaluation Panel *The Final report of the raking of files by domain*(according to the model from **Annex 3** of this guide book);
- b. He/ she gives to the project management team this Final report on the evaluation in RRAE;
- c. For the file with the highest score, he/she presents a comparison concerning the elements from Criterion I with the top universities in Europe, conducting A Report of benchmarking (according to the model from Annex 3).

Bibliography

- [1] The General Methodology of Evaluation of the Higher Education Scientific Research Quality, October, 2009, http://www.ecs-univ.ro/UserFiles/File/Metodologie%20Generala%20cu%20Anexe.pdf
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Annex 1. Particularization of the quality levels meaning according to the specific methodology of the domain Philology [2]

The descriptors and the quality factors specific to the three qualitatively evaluated criteria, for the Philology Panel are presented below.

Criterion I: The results obtained in the activity of scientific research

The scientific research of each researcher will be analyzed from the Philology domains on the basis of two articles which were chosen and sent by him/her from those published in the last five years and of a chapter from his/her books appeared in the last five years.

Excepting the studies published in the registered volumes to The National Library and of the studies which are published in ISI magazines, NURC A and B+, ERIH recognized, we introduce in the quantitative evaluation any other publications, specifying that these will be the object of the qualitative analysis, being forbidden to introduce in the evaluation more than 2 titles for each researcher.

It can be considered as translations also the translations of important and difficult literary works (of "Ulysses" type), published in volumes and belonging to the The National Library. Just one translation for a researcher is accepted, this one being qualitative evaluated by the members of the experts' commission.

Descriptors:

Scientific articles

Description:

- articles which are published in international ISI and ERIH A, B, C magazines;
- article which is published in NURC A and B+ Romanian magazines;
- studies which are published in an international collective volume or in one of "proceedings"
 type, appeared in foreign publishing houses which are recognized on a scientific plan;
- studies which are published in an international collective volume or in one of "proceedings" type appeared in Romania, in a publishing house which is NURC recognized;
- studies which are published in a collective volume or in one of "proceedings" type,
 appeared in a Romanian publishing house, which is NURC recognized;
- introductory studies to the translations of some source texts and/or from texts which belong to the cultural universal patrimony, to translations from the specialty literature, critical editions to fundamental works and documents editions;













Quality factor: As a consequence of some previous debates were settled the following 4 qualitative categories (levels):

Top international level:

- contributions to the development (the thoroughgoing study) of some trends (themes) of research from the domain, on an international plan;
- contributions to the promoting in an international context of the orienting directions
 from the methodological and conceptual point of view;
- the International level of the magazine;
- the citation in reviews and in articles which are published in BDI, ISI and ERIH magazines;

International level:

- the studies turn to good account Romanian authors and specific topics in an international context and in a comparative perspective;
- the studies develop themes with a transnational content, using new working methods or that ones which are similar to those which are used in the international scientific environments;
- the International level of the publication;
- the citation by reviews in prestigious international magazines

National level:

- the novelty of the thematic in the national context is appreciated;
- the novelty of the approach in a national context is appreciated;
- the importance for the education system from the respective domain is appreciated (fact that doesn't mistake for the appreciation of the didactic nature of the respective paper);
- the National level of the publication;
- the citation by reviews and signings in specialty publications from the country;

Local level:

- a minimum documentary contribution and a minimum interpretative renewing in the domain;
- significant contributions only at a local level, published in magazines or collections with a reduced impact;
- Research books (of author), synthesis, treatises, monographs, essays

Description: the nature and the structure of the book itself and also the scientific prestige of the publishing house and of the collection (lecture board, reviews, contributors etc.) will be taken into consideration.













Quality factor:

Top international level:

- contributions to the renewing of the domain by the promoting of some trends/themes in an international context;
- contributions to the promoting in a national and/or international context of the orienting themes from the methodological and conceptual point of view;
- publishing in foreign publishing houses with a recognized scientific prestige on an international plan;
- comments and citations by reviews in prestigious international magazines;

International level:

- the books turn to good account Romanian authors and specific topics in an international context and in a comparative perspective;
- they develop themes with a transnational content, using new working methods or that ones which are similar to those which are used in the international scientific environments;
- the citation in reviews which are published in prestigious international magazines;

National level:

- the novelty of the thematic and of the interpretation in the national context;
- they promote a renewing of the thinking on a national plan in the literary comments and linguistics analysis, and also in the promoting of the new methodologies from the specialty domain;
- the national importance for the education system from the domain (fact that doesn't mean also the appreciation of the didactic nature of the respective volume);
- the National level of the publication;
- the citation by reviews and signings in specialty publications from the country;

Local level:

- the book doesn't bring, by thematic or treatment, an important contribution in the domain;
- the absence of the citations or signings;
- the publishing house doesn't have a recognized National level;
- Edited books coordination of collective volumes, critical editions (including documents editions),
 chrestomathies, commented anthologies, translations

The evaluation must dissociates between an occasionally coordination (the simple adding of the texts) and a real coordination, proving a coherence accepted as it is. Can be also considered translations of important













and difficult literary works (e.g., Ulysses) published in volumes and which belong to The National Library. Just one translation for a researcher is accepted, this one being qualitative evaluated by the members of the experts' commission

Quality factor:

National level:

- the edition quality: the accuracy of the forms of the editions or manuscripts of the authors, the rigor of the critical apparatus, erudition of the editor;
- the historical importance and the actual meaning of the edited work;
- the difficulty of the edition: the originar age, the existing sources, the amplitude of the returning operation;
- the interpretation quality: the rightness of the historical and value placement, the originality of the points of view;
- in the case of chrestomathies is appreciated their comprehensive and systematic nature, but also the intellectual level of the comment, so that they can't be mistaken for the popularizing works;
- the national importance for the education process from the domain;
- books which appeared in national level prestigious publishing houses;
- books which were reviewed or cited in the specialty publications;

Local level:

- professional and intellectual qualities which were diminished comparing to those mentioned above;
- bringing in the present of some texts or authors of local importance;
- publishing in publishing houses with a Local level;
- reviews and signings in local magazines;

Criterion II: The background of scientific research

Organizing scientific events of international level

Quality factor: the quality of the scientific event by the weight of the international participation, the intellectual amplitude of the participants and the level of the publication which took over the presented papers. It is important to keep in mind also the echo of the conferences in the specialty magazines from the country and abroad will be appreciated. It must be especially appreciated those conferences which brought significant contributions and *young researchers*. The existence of some *research centers* which constantly













organize scientific events, which stimulate the young researchers, must be taken into consideration, in its turn.

Top international level

The chosen topic of the conference is important from the point of view of the researches progress in the domain and is of great present interest by the methodological and conceptual changes proposed in the large domain of philology and/or in that of the human sciences in an international context. It is an inspiring one for the Romanian professional background. The conference is valuable by the quality and the number of the participants, by the presented papers and by the collective volume/ volumes which are published under the coordination of the professor who organizes the international scientific event. The volume is published in Romanian language and in a language of international circulation and attracts positive comments in the prestigious scientific magazines from the country and abroad. The conference has a Top international level, this one being proved by the participants' prestige, by the new contributions as far as the information and the interpretations in the domain brought in this case-concern, by the value of the debates and of the good reception (the echo) in the professional and generally intellectual backgrounds.

The conference is a pioneer in the domain, it is followed by similar others or/and by the integration and citation in top international programs of the results brought by the participants, by multiple notes and appreciative observations on the scientific event in the specialty magazines and in the daily press from the world and from Romania.

International level

The conference topic is a tendered one at an international level in the domain. The organizer of the event proposes a new approach and the participants restart a new or a less known or fixed terminology, they discuss in a renovator way the topic, rethinking the texts, trends and directions and bringing alternative working hypothesis. The conference is valuable by the quality and the number of the participants, by the presented papers and by the published collective volume/volumes. The volume is published in Romanian language and in a language of international circulation and it attracts positive comments in the prestigious scientific magazines from the country and abroad. The conference becomes of reference in the domain and it is followed by similar others, stimulating the studies in the philology and human sciences domain.

National level

The scientific event gathers specialists of a national level and it approaches an actual issue for the human scientific background from Romania. The quality is given by the novelty of













the information, by the number and the prestige of the participants (most of them with scientific activities which are visible and recognized at a national level). The value of the scientific event is given by the collective volume which was edited and conceived according to the methodological procedures accepted by the national universitary backgrounds. The quality of the scientific event is checked by the structuring of each text, in the coherence of the demonstration, in the acuteness and the rightness of the interpretation. It also counts the echo of the event in the native cultural magazines.

Local level

The conference treats "too well known" topics, it approaches from an out of date perspective from the ideas level and methodological point of view, its echo doesn't pass the local level, the involved specialists are less known.

PhD Advisors

Quality factor: Is established depending on the results of the post-graduates, on the scientific quality of the fragments of thesis published as studies, on the thesis published as a book and on their recognizing in the scientific backgrounds. The recognizing of the quality is done taking into consideration also the involvement of the post-graduates in researches developed in some national and international projects

Top international level

The PhD Advisors develops an international scientific activity, he/she is member in the international teams of research and author of research projects where are involved his/her own post-graduates, professors and post-graduates from universities of a Top international level. The Doctoral School is realized in a partnership with one or more foreign universities. By cooperating with International level scientists, by organizing international conferences, by editing of collective volumes with an international echo, by a prestige in academic backgrounds from abroad, we can speak about the creation of a true *school* in a certain specialty.

Post-graduates have day courses, and their preparation benefit of courses, debates and methodological guidance of the coordinator professor and also of the courses and conferences of the visiting professors from abroad. Publishing of the post-graduates' articles and of their doctorate thesis assures visibility, respectively the recognition of the Doctoral School in an international universitary context. They are published in Romanian and/or in a language of circulation and they are edited in magazines and publishing houses with an international academic prestige.













International level

The School benefits of international grants. Post-graduates have day courses, they have research scholarships of an international level, they attend courses of the visiting professors from abroad, they participate to national and international conferences and they publish articles and studies in magazines and books which are edited in Romania and abroad. The results of their work are validated by the coordinator professor and the international partners of the Doctoral School, the doctoral theses being presented in front of the commission formed by Romanian professors and the foreign ones, who have an International level.

National level

The Doctoral School benefits of national grants, of professors with a scientific activity which is recognized at a national level, by a syllabus which respects the tradition of the Romanian humanist studies. The impact of The School is a national one, it replies to the needs of preparation of the future specialists in philology and other humanist sciences and it prefers so called classic topics and working methods. The coordinator professor has a prestigious scientific activity in a national context and it is an important contributor to the renewing and enriching the information in the domain. The researches and the texts which are elaborated and published by the post-graduates adopt, usually, traditional themes. They are firstly published in Romanian language and especially in the specialty magazines from Romania. The School's results are recognized at a national level.

Local level

The Doctoral School has a reduced impact in the development of the domain. The language and the concepts that are used by the coordinator and by the involved professors are often out of date, the professional background they belong to non participating to the changes which are done at the national and international level in the domain. The theoretic luggage is minimum. The Doctoral School lacks of vision, the preparation of the post-graduates being influenced by the lacks in the theoretical preparation of the coordinator and of the professors. The results reflect in the doctoral theses, having some contributions of information, but which are short from the point of view of the academic structure of the paper, of the analyzing and interpretation, of the information of international references.













Criterion III: The prestige in the academic community

In the frame of this criterion the prestige by the academic community of the researchers of a university who are active in the Philology domain is analyzed. The analysis is globally done on the basis of the individual information of the researchers.

The descriptors are:

- Invited papers to prestigious international and national conferences
- Visiting professor to universities and prestigious scientific institutes
- Citations

Quality factor: On the basis of the information filled in by each researcher in the afferent domains to the descriptors from the criterion 2.4.3., an university can receive on a certain domain, one of the following marks:

Top international level

- member of some Science Academies from Europe and the world with a prestige in the domain of the literary, linguistics and culture disciplines, in general;
- member of some prestigious professional associations of the world;
- important prizes and international distinctions in the domain;
- honoris causa doctor of some universities with a scientific prestige recognized in Europe and the world:

International level

- visiting professor and invited researcher in prestigious universities and scientific institutes;
- keynote speaker at the plenary session to scientific congresses of European and world level;
- keynote speaker to conferences with an international impact;
- papers and conferences as an invited person to some top scientific reunions;
- distinctions and/or international prizes from the behalf of some international professional Associations;
- member of some international professional Associations;
- citations of the scientific results in books and in magazines which are published by international academic institutions;
- member in the board of an international prestigious specialty magazine;

National level

- member or correspondent member of The Romanian Academy;
- prizes of the Romanian Academy, of The Writers' Union and of other professional associations of a national level;













- member of some national professional associations;
- chief editor/director of some national prestigious magazines;

Local level

distinctions of the local professional associations

Criterion IV: Financial resources brought for the scientific research

The afferent evaluation of the criterion IV is totally quantitative, being automatically achieved by the informatics platform of the assessment support, without the involvement of the evaluator experts. This evaluation is done on the basis of the research contracts reported by an university on a certain domain, on the evaluated period.













Annex 2. Panel Meetings Schedule

Period	Panels					
22 th – 24 th of August 2011	P4 - Chemistry	P7 - Mechanical engineering and mechatronics	P27 - Psychology	P34 – Cinematograph y and performing arts		
24 th - 26 th of August 2011	P32 - Theology and religious studies	P8 - Aerospatiale engineering	P21 - Law and administrative sciences	P2 - Informatics		
29 th - 31 st of August 2011	P1 - Mathematics	P37 - Architecture and urbanism	P30 - Philosophy	P26 - Sociology, anthropology and social assistance	P14 - Electrical engineering	P29 - Sports
30 th August - 1 st September 2011	P28 - Education science	P31 - History	P35 - Music			
31 st - 2 nd September 2011	P12 - Oil, gas and mines	P9 - Transportation	P11 - Materials science	P18 - Computers and information technology	P33 - Philology	
5 th - 7 th of September 2011	P42 - Pharmacology	P5 - Geology and geography	P24 - Political sciences and international relations	P13 - Industrial engineering	P20 - Environmental sciences	
6 th - 8 th of September 2011	P10 - Chemical engineering	P17 - System engineering	P16 - Electronics and telecommunica tions	P23 - Military sciences, security and information	P15- Energetics	
7 th - 9 th of September 2011	P40 - Veterinary medicine and zootechny	P41 - Medicine	P36 - Visual arts			
12 th - 14 th of September 2011	P38 – Biology	P3 - Physics	P6 - Civil engineering and installations	P19 - Biotechnologie s, food security and engineering	P39 - Agriculture and forestry	P25 - Communicati on and media
15 th - 17 th of September 2011	P22 - Economic sciences					













Annex 3. Templates for Reports generated during RRAE

1. The online evaluation form.

ROMANIAN RESEARCH ASSESSEMENT EXERCISE (RRAE)

	Evaluation form
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Evaluated domain	
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Criterion II – The background of scientific research **PhD Advisors Total number of PhD advisors** (are generated automatically by the platform) Top international level (I_{iv}= 1,2): International level $(I_i = 1)''$ National level $(I_n = 0.9)$: Local level $(I_1=0,7)$: Fc,g,cd = (are generated automatically by the platform) Organization of Scientific Events **Total number of events** (are generated automatically by the platform) Top international level (I_{iv} = 1,2): International level $(I_i = 1)$: National level $(I_n = 0.9)$: Local level $(I_1=0,7)$: Fc,g,ms = (are generated automatically by the platform) Access to scientific literature Number of subscriptions to domain specific journals Total number of journal subscriptions in the university (are generated automatically by the platform) Top international level (I_{iv}= 1,2):

 $Fc,g,al = (are\ generated\ automatically\ by\ the\ platform)$

International level

National level $(I_n = 0.9)$: Local level $(I_1=0,7)$:

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Youth Research Program Number of researchers under 35 years of age **Total number of researchers** Total number of evaluated programs (are generated automatically by the plaform) Top international level (I_{iv}= 1,2): International level $(I_i = 1)$: National level $(I_n = 0.9)$: Local level $(I_1=0,7)$: Fc,g,ptc = (are generated automatically by the platform) Research infrastructure Total sum of money invested in the domain specific infrastructure **Total number of evaluated infrastructure elements** (are generated automatically by the platform) Fc,g,i = are introduced in SISEC by the evaluator as a single value for the entire infrastructure related to the evaluated domain **Edited volumes** Total number of edited volumes (are generated automatically by the platform) Top international level (I_{iv}= 1,2): International level

 $Fc,g,v = (are\ generated\ automatically\ by\ the\ platform)$

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National level $(I_n = 0.9)$: Local level $(I_1 = 0.7)$:













Edited translations

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Criterion III – The prestige in the academic community

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Criterion IV – **Research Contracts**

	Domain specific income attracted from contracts at a national level	
	Domain specific income attracted from contracts at an international level	
	Total numbers of researchers in the specific domain (are generated automatically by the platform)	
Evalua	tor's general notes regarding Criterion IV	
	Date	Expert's first and last name
		Expert's signature













2. Report for visits on Universities.

ROMANIAN RESEARCH ASSESSEMENT EXERCISE (RRAE)

On-site evaluation form

General data:
Evaluated University
Evaluated domain
Criterion II – The background of scientific research
Research infrastructure (the list with the infrastructure elements uploaded to SISEC and a column with the evaluator's remarks)
Infrastructure list uploaded to SISEC (automatically generated by the platform)
Total sum invested in infrastructure on domain
Fc,g, $i = fill$ out by the evaluator as an unique value for the entire evaluated domain infrastructure
Evaluator's general notes
Organization of Scientific Events
The list of scientific events uploaded to the
platform (a column with the quality level for each meeting must be added; from on-line and field evaluation) (automatically generated by the platform)













Evaluator's general notes
Access to scientific literature
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The list of elements uploded to the platform (a column with the quality level for each element must be added; from on-line and field evaluation)
(automatically generated by the platform)
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Evaluator's general notes
Youth Research Program
The list of elements uploded to the platform (a column
with the quality level for each element must be added; from on-
line and field evaluation)
(automatically generated by the platform)
Evaluator's general notes













Criterion IV – Research Contracts (the list of the elements uploded to SISEC)

Evaluator's general notes		
Date		
On-site evaluation team		
Last name and first name of the expert	Signature	













3. Panel meeting report.

ROMANIAN RESEARCH ASSESSEMENT EXERCISE (RRAE)

Panel report

Camaval data:	
General data:	
Evaluated university	
Evaluated domain	
Lvaluated domain	
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Criterion II - Scientific research enviroment

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Youth	h Research Program			
	Numbers of researchers ur	nder 35		
	Total number of researche	rs		
	Total number of evaluated	programs		
	(automatically generated b	y the platform)		
	Top international			
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General notes of assess	ment panel for Criterion II













Criterion III – The prestige in the academic comunity

Number of researchers with non-zero scientific production				
Total number of researchers in a domain				
Number of evaluated elements (automatically generated by platform)				
Top international level(I _{iv} = 1,2): International level				
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Local level $(I_1=0,7)$: Fc ,g,rc = (automatically generated by the platform)				
General notes of assessment panel for Criterion III				













Criteriul IV – **Research Contracts**

	Funds attracted for research from national	competitions	
	Funds attracted for research from internation competitions	onal	
	competitions		
	Total number of researchers		
	(automatically generated by the platform)		
	General notes of assessment panel for Crite	rion IV	
	Date		
Assessm	nent panel		
	Last and first name of expert		Signature













4. Final ranking report.

ROMANIAN RESEARCH ASSESSEMENT EXERCISE (RRAE)

Final ranking report

Domain

Table 1

Numeric values associated to criteria I-IV (SISEC)

University code	University	Criterion I	Criterion II	Criterion III	Criterion IV
	U1	Val(U1)	Val(U1)	Val(U1)	Val(U1)
	U2	Val(U2)	Val(U2)	Val(U2)	Val(U2)
	U3	Val(U3)	Val(U3)	Val(U3)	Val(U3)
				·	·

Table 2 Numeric values associated to criteria I-IV ranked low (SISEC)

Criterion I	Criterion II	Criterion III	Criterion IV
Val(University code)	Val(University code)	Val(University code)	Val(University code)

Table 3 Scores corresponding to values from Table 2 (based on Annex 1)

Criterion I	Criterion II	Criterion III	Criterion IV
P(Ui)	P(Uj)	P(Uk)	P(Um)

Table 4

Scores obtained by Universities in ENEC University Score Score Score Score code University Criterion I Criterion II Criterion III Criterion IV













Date

Assessment panel

Last and first name of expert	Signature

Annex 1: tables of scores from general methodology

Annex 2: Universities codes













5. *International benchmarking* report.

ROMANIAN RESEARCH ASSESSEMENT EXERCISE (RRAE)

FISA de benchmarking

Gene	ral data	:	
<u>E</u>	valuate	ed University	
L			
<u>[</u>	Domain		
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Total nui	mber o	f researchers:	
	>	Professors	
	>	Associated professors	
	>	PhD	
Criter	ion I –	The results obtained in scie	ntific research
	>	Number of articles	
	>	Other relevant results	
С	Data		Panel Coordinator,
			Signature













Annex 4. Glossary of terms used in RRAE

In this section are specified the meanings of the main terms used for the application of this guide book.

Published article: a document published by the author/authors. In this case, there are taken into consideration the articles published in ISI indexed journals or in prestigious international data basis.

Patent of invention: a title of protection which gives to the titular an exclusive right of exploitation of the invention object and also the right to forbidden to the third persons (physical and judicial persons) to exploit the invention object.

The university's capacity of supporting postdoctoral programmes: the existence of the human and financial resources at the level of the university and also of a postdoctoral programme.

Scientific book: book written on the basis of the proper scientific activity. The didactic papers are excluded.

Research: creative activity which brings a contribution to knowledge, understanding and innovation with an economic relevance.

Researcher: is the person involved in the conceiving or creating of new knowledge, products, processes, methods and systems and also in their management. The definition refers to any person who is professionally involved in the research-development activity, in any stage of his/her career, regardless of classification. This includes any type of research: basic, strategic, applied ones, experimental development and knowledge transfer, innovation and counseling, supervision and training capacities, knowledge and intellectual copy rights management, the exploitation of the research results or scientific publishing.

Researcher subjected to RRAE evaluation is the employed person with the basic norm (with an individual labour contract) in the university, at 31-st of December, 2010.

PhD Advisor: can be an academician, a correspondent member of Romanian Academy, a full professor and a scientific researcher degree I, who got the legal right to supervise post graduates.













RRAE domain coordinator: the employed person with the basic norm (with an individual labour contract) in the university, at 31-st of December, 2010 and who is appointed by the Rector as responsible for the research evaluation from a certain domain covered by the respective university.

Evaluation criteria: principles on the basis which is done the classification of the universities from Romania as far as the research activity concerns. In the actual methodology, there are taken into account four criteria for the research evaluation from the universities.

Evaluation domain: is one of the forty two research domains described in this guide book.

The Romanian Research Assessment Exercise (RRAE or "the exercise"): an instrument of measuring the research quality from the universities from Romania, and also of identification of the universities with a potential of becoming excellency universities.

Quality factor: a factor settled by the evaluator experts on the basis of the qualitative analysis of the documents from the evaluation file.

Impact factor: the average number of citations from a year of the articles published by the researcher in the preceding two years.

Evaluation indicator: the numerical quantified form of a descriptor.

Innovation: activity oriented to the generating, assimilating and valuing of the results of the research-development in the economic and social area.

Invited papers at prestigious international conferences: papers presented at international conferences and published in the documents of that conference.

University mechanisms for attracting young researchers: the existence of some proper instruments at the university level (example: research programme) and financial facilities dedicated to the young researchers.

Research Evaluation Methodology: assembly of proceedings (information and integrated procedures) used in the achievement of the research activity evaluation on scientific domains, from the universities from Romania.













Products and innovative services: products/services with an economic impact provable by the effects produced by their application.

Visiting professor at prestigious universities: professor invited at a famous university for a long term period.

Achievements subjected to copy right law: achievements referring to creation, defined as a process of research and innovation from the domains: architecture and art.

The research results: the contribution to knowledge, understanding and innovation, with a socio-economic relevance.